

Problem # 1

30 points

TKD Problem 1

Problem Set #12

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P1/

## 1/ Moment Equations and Conservation Constraints

The nonrelativistic Vlasov equation is:

$$\left\{ \frac{\partial}{\partial t} + \vec{v} \cdot \frac{\partial}{\partial \vec{x}} + \frac{q}{m} [\vec{E} + \vec{v} \times \vec{B}] \cdot \frac{\partial}{\partial \vec{v}} \right\} f(\vec{x}, \vec{v}, t) = 0$$

Define a fluid density  $n$  and a fluid flow velocity  $\vec{V}$  by

$$n(\vec{x}, t) = \int d^3v \cdot f(\vec{x}, \vec{v}, t)$$

$$n(\vec{x}, t) \vec{V}(\vec{x}, t) = \int d^3v \vec{v} f(\vec{x}, \vec{v}, t)$$

a) Operate on the Vlasov equation with  $\int d^3v \dots$

to derive the continuity equation:

$$\frac{\partial}{\partial t} n(\vec{x}, t) + \frac{\partial}{\partial \vec{x}} \cdot (n(\vec{x}, t) \vec{V}(\vec{x}, t)) = 0$$

b) Can the continuity equation be solved by itself if you specify the initial density field  $n(\vec{x}, t=0)$ ? Why?

c) Operate on Vlasov's equation with  $\int d^3v \vec{v} \dots$

to derive the fluid force equation:

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$$\frac{\partial}{\partial t} (n \vec{V}) + \nabla \cdot (n \langle \vec{v} \vec{v} \rangle_{\sigma}) = \frac{q}{m} n (\vec{E} + \vec{V} \times \vec{B})$$

$$\langle \vec{v} \vec{v} \rangle_{\sigma} \equiv \int d^3v \vec{v} \vec{v} f / \int d^3v f$$

John Barnard in earlier lectures made a definition of a pressure tensor as

$$\underline{P} = m \int d^3v (\vec{v} - \vec{V})(\vec{v} - \vec{V}) f(\vec{x}, \vec{v}, t) \\ = mn \langle \vec{v} \vec{v} \rangle_{\sigma} - mn \vec{V} \vec{V}$$

In terms of this the fluid force eqn can be expressed as:

$$\frac{\partial}{\partial t} \vec{V} + \vec{V} \cdot \frac{\partial}{\partial \vec{x}} \vec{V} = \frac{q}{m} (\vec{E} + \vec{V} \times \vec{B}) - \frac{1}{mn} \frac{\partial}{\partial \vec{x}} \cdot \underline{P}$$

This form is often used in fluid/plasma analysis.

- d) If the continuity and force equation derived in parts a) and c) are analyzed, can they be solved in principle if you specify the initial density field  $n(\vec{x}, t=0)$  and the velocity field  $\vec{V}(\vec{x}, t=0)$ ? Why? Does the answer change if we assume a cold initial beam with  $\underline{P} = 0$ ? Why?

- e) Let  $G(f)$  be some smooth, differentiable function of  $f$  satisfying  $G(f \rightarrow 0) = 0$ . Show that

$$\int d^3x \int d^3v G(f) = \text{const.}$$

with  $G$  specified

This so-called "generalized entropy" measure<sup>^</sup> can be used to check Vlasov simulations. For example:

$$G(f) = f: \int d^3x \int d^3v f = \text{const} \Rightarrow \text{charge cons.}$$

$$G(f) = f^2: \int d^3x \int d^3v f^2 = \text{const} \Rightarrow \text{"entropy" cons.}$$

Problem KV Characteristics

An orbit in a continuously focused KV beam satisfies:

$$\tilde{x}_1'' + k_{p0}^2 \tilde{x}_1 - \frac{Q}{r_b^2} \tilde{x}_1 = 0$$

$$\tilde{x}_1'' + k_{\beta}^2 \tilde{x}_1 = 0$$

$r_b$  = beam radius

$Q$  = perveance

$k_{p0}^2$  = focusing wavenumber squared.

$\tilde{x}_1, \tilde{y}_1$  =  $x, y$  coords of characteristic orbits.

$$k_{\beta}^2 = k_{p0}^2 - \frac{Q}{r_b^2}$$

Show that the orbit characteristics can be expressed as

$$\tilde{r}^2(\tilde{s}) = r^2 \cos^2 [k_{\beta}(\tilde{s}-s)] + \frac{r r'}{k_{\beta}} \cos \psi \sin [2k_{\beta}(\tilde{s}-s)]$$

$$+ \frac{r'^2}{k_{\beta}} \sin^2 [k_{\beta}(\tilde{s}-s)]$$

$$\psi = \theta - \theta'$$

$$\tilde{x}(\tilde{s}=s) = r \cos \theta$$

$$\tilde{x}'(\tilde{s}=s) = r' \cos \theta'$$

$$\tilde{y}(\tilde{s}=s) = r \sin \theta$$

$$\tilde{y}'(\tilde{s}=s) = r' \sin \theta'$$

↑  
Angles in polar coordinates.

## TKS Problem 2

Problem #3  
20 points

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Gluckstern Modes on a KV Beam

2/  $N=1$  Gluckstern mode and the KV envelope equation for the breathing mode.

$r_b =$  equilibrium matched beam radius.

a) The Gluckstern mode eigenfunction is given by

$$\delta\phi_n = \begin{cases} \frac{A_n}{2} \left[ P_{n-1}\left(1 - \frac{2r^2}{r_b^2}\right) + P_n\left(1 - \frac{2r^2}{r_b^2}\right) \right] & ; 0 \leq r < r_b \\ 0 & ; r_b < r \leq r_p \end{cases}$$

$$n = 1, 2, 3, \dots \quad ; \quad P_n(x) = \text{nth order Legendre Polynomial}$$

Write down the eigenfunction as an explicit polynomial in  $r$  for  $n=1$  and plot this solution.

Legendre Polynomials

$$P_0(x) = 1$$

$$P_1(x) = x$$

$$P_2(x) = \frac{1}{2}(3x^2 - 1)$$

b) Apply the Poisson equation:

$$\frac{1}{r} \frac{\partial}{\partial r} \left( r \frac{\partial \delta\phi_n}{\partial r} \right) = \frac{-q}{\epsilon_0} \delta N_n(r).$$

to calculate the perturbed mode density  $\delta N_n$  for  $\delta\phi_n$  as a function of  $r$  for  $0 \leq r < r_b$ . (the "body-wave" component). Plot this result.

c) Use part b) to calculate the amount of charge introduced into the system by the "body-wave" perturbation  $\delta N_n(r)$  for  $0 \leq r < r_b$ . How far would the beam edge radius  $r_e = r_b + \delta r_b$  need to change to conserve charge to linear order in  $A_1$ ?

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d) Obtain the  $n=1$  Gluckstern mode dispersion relation from the general  $n$  formula presented in class:

$$zn + \frac{1 - (\delta/\delta_0)^2}{(\delta/\delta_0)^2} \left[ B_{n-1} \left( \frac{k \delta_{p0}}{\delta/\delta_0} \right) - B_n \left( \frac{k \delta_{p0}}{\delta/\delta_0} \right) \right] = 0$$

From the definitions in the class notes,  
for the  $B_n$  we have:

$$B_0(\omega) = 1$$
$$B_1(\omega) = \frac{(\omega/\omega_c)^2}{(\omega/\omega_c)^2 - 1}$$

Solve for the mode eigenfrequency  $\omega$  as a function of  $k \delta_{p0}$  and  $\delta/\delta_0$ .

$k$  is a spatial wavenumber that we sometimes call a "frequency"

e) Compare the wavenumber  $k$  calculated in part d) with the "breathing" envelope mode on a round KV equilibrium where we showed that the mode wavenumber is

$$k_{\text{envelope}} = \sqrt{2k_{p0}^2 + 2k_{p0}^2 (\delta/\delta_0)^2}$$

Are the wavenumbers the same? Is it reasonable to identify these as the same modes? (Explain why.)  
Would you expect that the lowest order modes of a kinetic theory to always reproduce the KV envelope modes to lowest order? (Explain why.)